

Optimisation of the lowest eigenvalue for surface δ -interactions and for Robin Laplacians

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in collaboration with

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Mathematical Challenges of Zero-Range Physics
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$$\begin{cases} |\partial\Omega| = |\partial\mathcal{B}| \\ \Omega \not\cong \mathcal{B} \end{cases} \implies \begin{cases} |\Omega| < |\mathcal{B}| & \text{(geometric)} \\ \lambda_1^D(\Omega) > \lambda_1^D(\mathcal{B}) & \text{(spectral)} \end{cases}$$

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The Neumann Laplacian: similar spectral inequality is trivial: $\lambda_1^N(\Omega) = 0$.
Non-trivial for **the Robin Laplacian** and for **δ -interactions** on surfaces.

Part I. Schrödinger operators with δ -interactions on hypersurfaces

- P. Exner and V. L., *A spectral isoperimetric inequality for cones*, arXiv:1512.01970, 2015, to appear in *Lett. Math. Phys.*
- V. L., *Spectral isoperimetric inequalities for δ -interactions on open arcs and for the Robin Laplacian on planes with slits*, arXiv:1609.07598, 2016.

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$$H^1(\mathbb{R}^d) \ni u \mapsto \mathfrak{h}_\alpha^\Sigma[u] := \|\nabla u\|_{L^2(\mathbb{R}^d; \mathbb{C}^d)}^2 - \alpha \|u|_\Sigma\|_{L^2(\Sigma)}^2 \text{ for } \alpha > 0.$$

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The lowest spectral point for H_α^Σ

$$\mu_1^\alpha(\Sigma) := \inf \sigma(H_\alpha^\Sigma).$$

Motivations

Motivation from physics

- (i) H_α^Σ models a 'leaky' quantum system wherein a particle is confined to Σ but the tunnelling between different parts of Σ is not neglected.
- (ii) Quantum graphs and waveguides do not explain the tunnelling!

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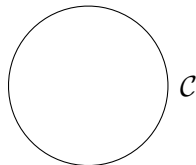
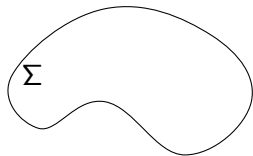
This question can be asked for various shapes of Σ

- (i) EXNER-KOVAŘIK-15 and the references therein.
- (ii) Universal description of spectrum for general Σ can hardly be found!

δ -interactions on loops

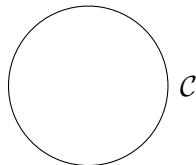
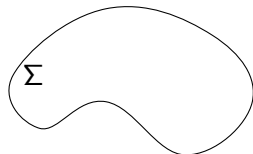
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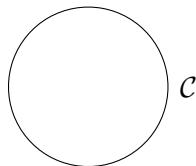
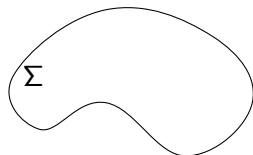


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Theorem (Exner-05, Exner-Harrell-Loss-06)

$$\begin{cases} |\Sigma| = |\mathcal{C}| \\ \Sigma \not\cong \mathcal{C} \end{cases} \implies \mu_1^\alpha(\mathcal{C}) > \mu_1^\alpha(\Sigma), \quad \forall \alpha > 0.$$

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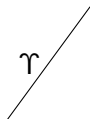
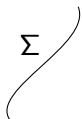
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1) Birman-Schwinger principle; 2) the line segment is the shortest path connecting two fixed points; 3) strict monotonous decay of $K_0(\cdot)$.

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$$\begin{aligned} m_\kappa^\Sigma &\geq (Q_\kappa^\Sigma \psi_\kappa^\Upsilon, \psi_\kappa^\Upsilon)_{L^2(\Sigma)} = \int_0^L \int_0^L K_0(\kappa |\Sigma(s) - \Sigma(t)|) \psi_\kappa^\Upsilon(s) \psi_\kappa^\Upsilon(t) ds dt \\ &> \int_0^L \int_0^L K_0(\kappa |\Upsilon(s) - \Upsilon(t)|) \psi_\kappa^\Upsilon(s) \psi_\kappa^\Upsilon(t) ds dt = m_\kappa^\Upsilon. \end{aligned}$$

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Open question

The shape of the optimizer under two constraints simultaneously:

- 1) fixed endpoints $P, Q \in \mathbb{R}^2$;
- 2) fixed length $L \in (|P - Q|, \infty)$?

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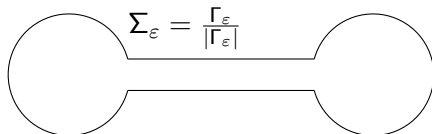
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Dumbbell – a counterexample in \mathbb{R}^3 (EXNER-FRAAS-09).

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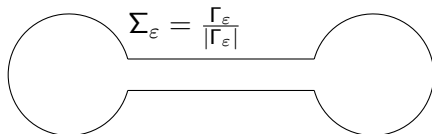
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For all $\alpha > 0$ and all sufficiently small $\varepsilon > 0$ holds $\sigma_{\text{d}}(\mathbf{H}_\alpha^{\Sigma_\varepsilon}) = \emptyset$.

δ -interactions on truncated cones

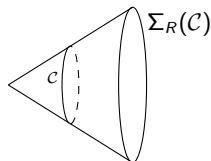
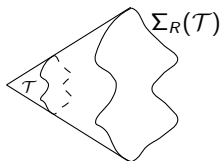
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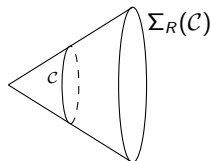
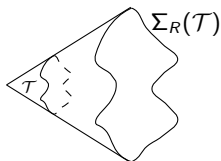
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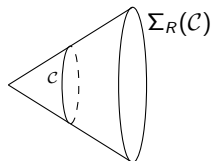
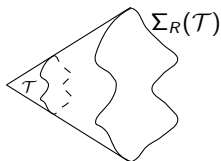
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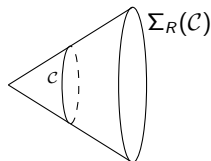
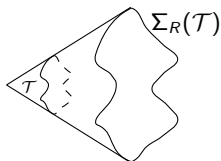
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The borderline case $\alpha = \alpha_*(\Sigma_R(\mathcal{C}))$: $\mu_1^\alpha(\Sigma_R(\mathcal{C})) = 0$ and $\mu_1^\alpha(\Sigma_R(\mathcal{T})) < 0$.

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Further analysis VL-OURMIÈRES-BONAFOS-16

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Passing in the result for truncated cones to the limit $R \rightarrow +\infty$.

Part II. The Robin Laplacian

- D. Krejčířík and V. L., *Optimisation of the lowest Robin eigenvalue in the exterior of a compact set*, arXiv:1608.04896, 2016.
- V. L., *Spectral isoperimetric inequalities for δ -interactions on open arcs and for the Robin Laplacian on planes with slits*, arXiv:1609.07598, 2016.

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The lowest spectral point for H_β^Ω

$$\nu_1^\beta(\Omega) := \inf \sigma(H_\beta^\Omega).$$

Motivations

Motivation from physics

- (i) H_{β}^{Ω} describes **oscillating**, elastically supported **membranes**.
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- Methods and results are frequently very different from δ -interactions.
- Although, the spectral problems have much in common.

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Theorem (Bossel-86 ($d = 2$), Daners-06 ($d \geq 3$))

$$\begin{cases} |\Omega| = |\mathcal{B}| \\ \Omega \not\cong \mathcal{B} \end{cases} \implies \nu_1^\beta(\mathcal{B}) < \nu_1^\beta(\Omega), \quad \forall \beta > 0.$$

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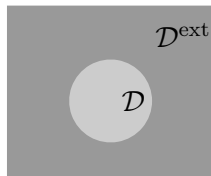
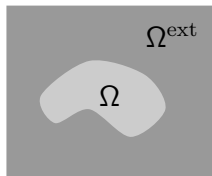
Open questions: generalisations of the last theorem for $d \geq 3$ and for $d = 2$ under the constraint $|\Omega| = |\mathcal{B}|$ for simply connected domains.

The Robin Laplacian on exterior domains: fixed perimeter

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An exterior domain

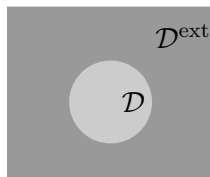
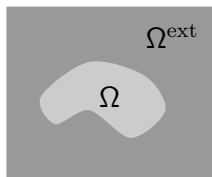
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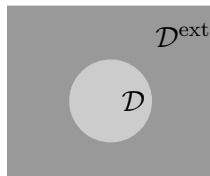
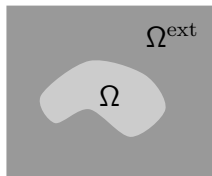
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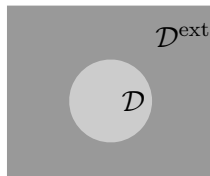
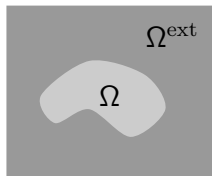
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Non-convex case: joint work in progress with D. Krejčířík.

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\mathcal{D}_R – a disc of radius R . $\beta < 0$. $\implies R \mapsto \nu_1^\beta(\mathcal{D}_R^{\text{ext}})$ is strictly decaying.

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For all $\beta < 0$ with $|\beta|$ large enough

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$\forall r > 0 \exists s > 0$ such that $|\Omega_{r,s}| = |\mathcal{B}_R|$ or $|\partial\Omega_{r,s}| = |\partial\mathcal{B}_R|$

No direct analogue for $d \geq 3$

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Strong coupling (KOVAŘÍK-PANKRASHKIN-16)

$\nu_1^\beta(\Omega_{r,s}^{\text{ext}}) - \nu_1^\beta(\mathcal{B}_R^{\text{ext}}) = \beta \left(\frac{(d-1)}{R} - \frac{(d-2)}{(d-1)r} \right) + o(\beta)$ as $\beta \rightarrow -\infty$.

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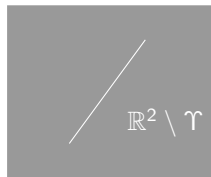
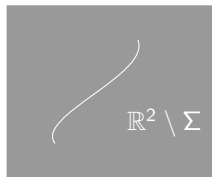
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For sufficiently small r and all $\beta < 0$ with $|\beta|$ large enough

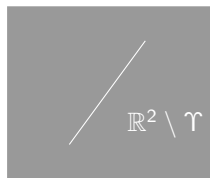
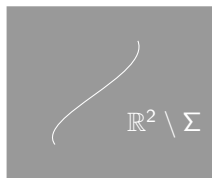
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The Robin Laplacian on a plane with a slit

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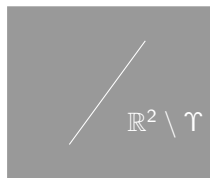
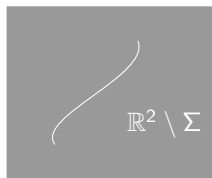
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Theorem (VL-16)

$$\begin{cases} |\Sigma| = |\Upsilon| \\ \Sigma \not\cong \Upsilon \end{cases} \implies \nu_1^\beta(\mathbb{R}^2 \setminus \Upsilon) > \nu_1^\beta(\mathbb{R}^2 \setminus \Sigma), \quad \forall \beta < 0.$$

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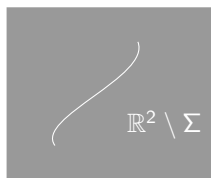
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Proof.

- Symmetry $\implies \nu_1^\beta(\mathbb{R}^2 \setminus \gamma) = \mu_1^{2\beta}(\gamma)$.

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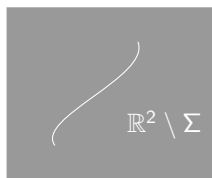
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- The claim follows from $\mu_1^{2\beta}(\Sigma) < \mu_1^{2\beta}(\gamma)$. □

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- the exterior of a disc among domains exterior to planar (not necessarily connected) sets of fixed area or fixed perimeter.

Thank you

Thank you for your attention!