Optimization of lowest Robin eigenvalues on 2-manifolds and unbounded cones

Vladimir Lotoreichik

in collaboration with M. Khalile

Czech Academy of Sciences, Řež near Prague



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1 Motivation & background

- Optimization on 2-manifolds
- Optimization on unbounded cones



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- 2 Optimization on 2-manifolds
- 3 Optimization on unbounded cones
- 4 Summary

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$$H^1(\Omega) \ni u \mapsto \int_{\Omega} |\nabla u|^2 \mathrm{d}x + \beta \int_{\partial \Omega} |u|^2 \mathrm{d}\sigma \quad \Longrightarrow \quad \mathsf{H}_{\beta,\Omega} = \mathsf{H}^*_{\beta,\Omega} \quad \text{in} \quad L^2(\Omega)$$

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Purely discrete spectrum

$$\lambda_1^eta(\Omega) \leq \lambda_2^eta(\Omega) \leq \cdots \leq \lambda_k^eta(\Omega) \leq \dots$$

 $(\beta \cdot \lambda_1^\beta(\Omega) > 0)$

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The ball (the disk) is:

- minimizer ($\beta > 0$, $|\Omega| = \text{const}$) Bossel-86, Daners-06
- **2** maximizer ($\beta < 0$, $|\partial \Omega| = \text{const}$, d=2), Antunes-Freitas-Krejčiřík-17
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1st main objective

To generalize (2) for 2-manifolds.

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The cone $\Lambda_{\mathfrak{m}} \subset \mathbb{R}^3$

 $\Lambda_{\mathfrak{m}} := \mathbb{R}_+ \times \mathfrak{m}$ (in the spherical coordinates).

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$$H^{1}(\Lambda_{\mathfrak{m}}) \ni u \mapsto \int_{\Lambda_{\mathfrak{m}}} |\nabla u|^{2} \mathrm{d}x + \beta \int_{\partial \Lambda_{\mathfrak{m}}} |u|^{2} \mathrm{d}\sigma \quad \Rightarrow \quad \mathsf{H}_{\beta,\Lambda_{\mathfrak{m}}} = \mathsf{H}^{*}_{\beta,\Lambda_{\mathfrak{m}}} \text{ in } L^{2}(\Lambda_{\mathfrak{m}})$$

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$$\mathsf{H}_{\beta,\Lambda_{\mathfrak{m}}} \simeq \beta^2 \mathsf{H}_{-1,\Lambda_{\mathfrak{m}}} \qquad \Longrightarrow \qquad \mathsf{H}_{\Lambda_{\mathfrak{m}}} := \mathsf{H}_{-1,\Lambda_{\mathfrak{m}}}.$$

Spectral properties of H_{Λ_m}

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Proposition (Pankrashkin-16)

• $\sigma_{\mathrm{ess}}(\mathsf{H}_{\Lambda_{\mathfrak{m}}}) = [-1,\infty).$

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$$\#\sigma_{\mathrm{d}}(\mathsf{H}_{\mathsf{A}_{\mathfrak{m}}}) = \infty$$
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2nd main objective

To obtain an isoperimetric inequality for $\lambda_1(\Lambda_{\mathfrak{m}})$.

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Distance functions $(x, y \in \mathcal{M})$

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 $R_{\mathcal{M}} := \max_{x \in \mathcal{M}} \rho_{\partial \mathcal{M}}(x)$ (the in-radius of \mathcal{M})

Definition of the operator

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 $K \equiv 0$ corresponds to the flat case.

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Theorem (Khalile-L-19)

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Counterexample on
$$\mathbb{S}^2$$
 ($\mathcal{K}_\circ = 1$): $\mathcal{B}^\circ \subset \mathbb{S}^2$, $|\mathcal{B}^\circ| < 2\pi$, $\mathcal{M} := \mathbb{S}^2 \setminus \mathcal{B}^\circ$

Weak coupling expansions $\beta \rightarrow 0$

$$\lambda_1^eta(\mathcal{M}) \sim rac{eta|\partial\mathcal{B}^\circ|}{4\pi - |\mathcal{B}^\circ|} \qquad ext{and} \qquad \lambda_1^eta(\mathcal{B}^\circ) \sim rac{eta|\partial\mathcal{B}^\circ|}{|\mathcal{B}^\circ|}.$$

Hence, $\lambda_1^{\beta}(\mathcal{M}) > \lambda_1^{\beta}(\mathcal{B}^{\circ})$ for $\beta < 0$ with $|\beta|$ small.

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Variational characterisation

$$\lambda_1^{\beta}(\mathcal{M}) = \inf_{u \in H^1(\mathcal{M}) \setminus \{0\}} \frac{\|\nabla u\|_{L^2(\mathcal{M};\mathbb{C}^2)}^2 + \beta \|u|_{\partial \mathcal{M}}\|_{L^2(\partial \mathcal{M})}^2}{\|u\|_{L^2(\mathcal{M})}^2}$$

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The ground-state $u_{\circ}(x) = \psi(\rho_{\partial \mathcal{B}^{\circ}}(x)) \colon \mathcal{B}^{\circ} \to \mathbb{R}$ of $H_{\beta, \mathcal{B}^{\circ}}$ is a radial function in geodesic polar coordinates on \mathcal{B}° .

$$u_{\star}(x) := \psi\left(
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Inspired by Payne-Weinberger-61, Antunes-Freitas-Krejcirik-17,...

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 $\|u_{\star}|_{\partial\mathcal{M}}\|_{L^{2}(\partial\mathcal{M})} = \|u_{\circ}|_{\partial\mathcal{B}^{\circ}}\|_{L^{2}(\partial\mathcal{B}^{\circ})} \text{ (trivial)}.$

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$\|u_\star\|_{L^2(\mathcal{M})} \le \|u_\circ\|_{L^2(\mathcal{B}^\circ)} \text{ and } \|\nabla u_\star\|_{L^2(\mathcal{M};\mathbb{C}^2)} \le \|\nabla u_\circ\|_{L^2(\mathcal{B}^\circ;\mathbb{C}^2)}$

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 $\|\nabla u_{\star}\|_{\mathcal{M}}^2$

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$$\begin{split} \|\nabla u_{\star}\|_{\mathcal{M}}^{2} &= \int_{0}^{R_{\mathcal{M}}} \psi'(t)^{2} |\partial \mathcal{M}(t)| \mathsf{d}t \qquad (\text{co-area}) \\ &\leq \int_{0}^{R_{\mathcal{M}}} \psi'(t)^{2} \left(L + \int_{0}^{t} \int_{\mathcal{M}(s)} \mathcal{K}(x) \mathsf{d}x \mathsf{d}s - 2\pi t \right) \mathsf{d}t \quad (\text{Savo-01}) \\ &\leq \int_{0}^{R_{\mathcal{M}}} \psi'(t)^{2} \left(L + \mathcal{K}_{\circ} \int_{0}^{t} |\mathcal{M}(s)| \mathsf{d}s - 2\pi t \right) \mathsf{d}t \qquad (\mathcal{K} \leq \mathcal{K}_{\circ}) \\ &\leq \int_{0}^{R_{\mathcal{M}}} \psi'(t)^{2} \left(L + \mathcal{K}_{\circ} \int_{0}^{t} |\mathcal{B}^{\circ}(s)| \mathsf{d}s - 2\pi t \right) \mathsf{d}t \qquad (|\mathcal{M}(s)| \leq |\mathcal{B}^{\circ}(s)|) \end{split}$$

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$$\|u_\star\|_{L^2(\mathcal{M})} \le \|u_\circ\|_{L^2(\mathcal{B}^\circ)}$$
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3.12.2019 15 / 25

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Recall that

- $\|u_{\star}|_{\partial\mathcal{M}}\|_{L^{2}(\partial\mathcal{M})} = \|u_{\circ}|_{\partial\mathcal{B}^{\circ}}\|_{L^{2}(\partial\mathcal{B}^{\circ})}$
- $\|u_{\star}\|_{L^2(\mathcal{M})} \leq \|u_{\circ}\|_{L^2(\mathcal{B}^\circ)}$
- $\|\nabla u_{\star}\|_{L^{2}(\mathcal{M};\mathbb{C}^{2})} \leq \|\nabla u_{\circ}\|_{L^{2}(\mathcal{B}^{\circ};\mathbb{C}^{2})}$

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Applying the min-max principle

$$\lambda_1^{eta}(\mathcal{M})$$

- $\|u_{\star}|_{\partial\mathcal{M}}\|_{L^{2}(\partial\mathcal{M})} = \|u_{\circ}|_{\partial\mathcal{B}^{\circ}}\|_{L^{2}(\partial\mathcal{B}^{\circ})}$
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Applying the min-max principle

$$\lambda_1^{\beta}(\mathcal{M}) \leq \frac{\|\nabla u_{\star}\|_{L^2(\mathcal{M};\mathbb{C}^2)}^2 + \beta \|u_{\star}|_{\partial\mathcal{M}}\|_{L^2(\partial\mathcal{M})}^2}{\|u_{\star}\|_{L^2(\mathcal{M})}^2}$$

•
$$\|u_{\star}|_{\partial\mathcal{M}}\|_{L^{2}(\partial\mathcal{M})} = \|u_{\circ}|_{\partial\mathcal{B}^{\circ}}\|_{L^{2}(\partial\mathcal{B}^{\circ})}$$

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$$\leq \frac{\|\nabla u_{\circ}\|_{L^{2}(\mathcal{B}^{\circ};\mathbb{C}^{2})}^{2} + \beta \|u_{\circ}|_{\partial\mathcal{B}^{\circ}}\|_{L^{2}(\partial\mathcal{M})}^{2}}{\|u_{\circ}\|_{L^{2}(\mathcal{B}^{\circ})}^{2}}$$

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•
$$\|u_{\star}|_{\partial\mathcal{M}}\|_{L^{2}(\partial\mathcal{M})} = \|u_{\circ}|_{\partial\mathcal{B}^{\circ}}\|_{L^{2}(\partial\mathcal{B}^{\circ})}$$

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Applying the min-max principle

$$\begin{split} \lambda_1^{\beta}(\mathcal{M}) &\leq \frac{\|\nabla u_\star\|_{L^2(\mathcal{M};\mathbb{C}^2)}^2 + \beta \|u_\star|_{\partial\mathcal{M}}\|_{L^2(\partial\mathcal{M})}^2}{\|u_\star\|_{L^2(\mathcal{M})}^2} \\ &\leq \frac{\|\nabla u_\circ\|_{L^2(\mathcal{B}^\circ;\mathbb{C}^2)}^2 + \beta \|u_\circ|_{\partial\mathcal{B}^\circ}\|_{L^2(\partial\mathcal{M})}^2}{\|u_\circ\|_{L^2(\mathcal{B}^\circ)}^2} = \lambda_1^{\beta}(\mathcal{B}^\circ) \end{split}$$

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Is simply-connectedness needed?

2 Does
$$\lambda_1^{\beta}(\mathcal{M}) = \lambda_1^{\beta}(\mathcal{B}^{\circ})$$
 imply $\mathcal{M} = \mathcal{B}^{\circ}$?

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- Is simply-connectedness needed?
- **③** Can one extend this result to $K_{\circ} < 0$?

- Is simply-connectedness needed?
- Obes $\lambda_1^{\beta}(\mathcal{M}) = \lambda_1^{\beta}(\mathcal{B}^{\circ})$ imply $\mathcal{M} = \mathcal{B}^{\circ}$?
- Solution $\mathbf{S}_{\circ} < 0$?
- I How much of this analysis survives in higher dimensions?

- Is simply-connectedness needed?
- Obes $\lambda_1^{\beta}(\mathcal{M}) = \lambda_1^{\beta}(\mathcal{B}^{\circ})$ imply $\mathcal{M} = \mathcal{B}^{\circ}$?
- Solution $\mathbf{S}_{\circ} < 0$?
- Output to the state of the s
- O Can one generalize the result by Bucur-Ferone-Nitsch-Trombetti for geodesically convex manifolds?

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Dirichlet-to-Neumann map $(\lambda < 0)$

 $\mathsf{D}_{\lambda,\mathcal{M}}\varphi=\partial_{\nu}u|_{\partial\mathcal{M}},$

$$\operatorname{dom} \mathsf{D}_{\lambda,\mathcal{M}} = \left\{ \varphi \in L^2(\partial \mathcal{M}) : \exists u \in H^1(\mathcal{M}) \text{ such that } -\Delta u = \lambda u \right\}$$

with $u = \varphi$ on $\partial \mathcal{M}$ and $\partial_{\nu} u|_{\partial \mathcal{M}}$ exists in $L^{2}(\partial \mathcal{M})$.

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The spectrum of $D_{\lambda,\mathcal{M}}$ is purely discrete

 $0 \leq \sigma_1^\lambda(\mathcal{M}) \leq \sigma_2^\lambda(\mathcal{M}) \leq \cdots \leq \sigma_k^\lambda(\mathcal{M}) \leq \dots$

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Proposition (Khalile-L-19)

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Motivation & background

- 2 Optimization on 2-manifolds
- 3 Optimization on unbounded cones

4 Summary

Recall the assumptions

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$\mathfrak{m} \subset \mathbb{S}^2$ – a bounded, simply-connected, domain with $\mathit{C}^2\text{-}\mathsf{smooth}$ boundary.

 $\mathfrak{m} \subset \mathbb{S}^2$ – a bounded, simply-connected, domain with C^2 -smooth boundary.

 $\Lambda_{\mathfrak{m}} := \mathbb{R}_+ \times \mathfrak{m} \subset \mathbb{R}^3$ (in the spherical coordinates).

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The Robin Laplacian on $\Lambda_{\mathfrak{m}}$

$$H^{1}(\Lambda_{\mathfrak{m}}) \ni u \mapsto \int_{\Lambda_{\mathfrak{m}}} |\nabla u|^{2} \mathrm{d}x - \int_{\partial \Lambda_{\mathfrak{m}}} |u|^{2} \mathrm{d}\sigma \quad \Rightarrow \quad \mathsf{H}_{\Lambda_{\mathfrak{m}}} = \mathsf{H}^{*}_{\Lambda_{\mathfrak{m}}} \quad \text{in} \quad L^{2}(\Lambda_{\mathfrak{m}})$$

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$$|\mathfrak{m}| < 2\pi \implies \lambda_1(\Lambda_\mathfrak{m}) < \inf \sigma_{\mathrm{ess}}(\mathsf{H}_{\Lambda_\mathfrak{m}}) = -1.$$

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$\mathfrak{b} \subset \mathbb{S}^2$ – geodesic disk (spherical cap).

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 $\mathfrak{b} \subset \mathbb{S}^2$ – geodesic disk (spherical cap).

Theorem (Khalile-L-19)

$$\begin{cases} L := |\partial \mathfrak{m}| = |\partial \mathfrak{b}| < 2\pi \\ |\mathfrak{m}|, |\mathfrak{b}| < 2\pi \end{cases} \implies \lambda_1(\Lambda_\mathfrak{m}) \le \lambda_1(\Lambda_\mathfrak{b}) = -\frac{4\pi^2}{L^2} \end{cases}$$

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An analogous result is known for δ -interactions on $\partial \Lambda_{\mathfrak{m}}$ (Exner-L-17). The method of the proof used there is not applicable any more.

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Step 1.

Variational characterisation

$$\lambda_{1}(\Lambda_{\mathfrak{m}}) = \inf_{u \in H^{1}(\Lambda_{\mathfrak{m}}) \setminus \{0\}} \frac{\|\nabla u\|_{L^{2}(\Lambda_{\mathfrak{m}};\mathbb{C}^{3})}^{2} - \|u|_{\partial \Lambda_{\mathfrak{m}}}\|_{L^{2}(\partial \Lambda_{\mathfrak{m}})}^{2}}{\|u\|_{L^{2}(\Lambda_{\mathfrak{m}})}^{2}}$$

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The ground-state $u_{\circ}(x) = \psi\left(|x|, \rho_{\partial \mathfrak{b}}\left(\frac{x}{|x|}\right)\right) : \Lambda_{\mathfrak{b}} \to \mathbb{R}$ of $H_{\Lambda_{\mathfrak{b}}}$ is rotationally invariant

$$u_{\star}(x) := \psi\left(|x|,
ho_{\partial \mathfrak{m}}\left(rac{x}{|x|}
ight)
ight) \in H^{1}(\Lambda_{\mathfrak{m}}) \qquad ext{(test function)}$$

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 (test function)

Step 2. $\|u_{\star}\|_{\partial \Lambda_{\mathfrak{m}}}\|_{L^{2}(\partial \Lambda_{\mathfrak{m}})} = \|u_{\circ}\|_{\partial \Lambda_{\mathfrak{b}}}\|_{L^{2}(\partial \Lambda_{\mathfrak{b}})}$ (almost trivial).

Use slicewise (for each fixed |x|) the same argument as for manifolds $\|u_{\star}\|_{L^{2}(\Lambda_{\mathfrak{m}})} \leq \|u_{\circ}\|_{L^{2}(\Lambda_{\mathfrak{b}})}$ and $\|\nabla u_{\star}\|_{L^{2}(\Lambda_{\mathfrak{m}};\mathbb{C}^{3})} \leq \|\nabla u_{\circ}\|_{L^{2}(\Lambda_{\mathfrak{b}};\mathbb{C}^{3})}$

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Step	3.	Apply	the	min-max	principle
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Motivation & background

- 2 Optimization on 2-manifolds
- Optimization on unbounded cones



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Problem I: optimization on 2-manifolds

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Problem I: optimization on 2-manifolds

The Laplacian on a compact, simply-connected 2-manifold with boundary $+\ {\rm an}\ {\rm attractive}\ {\rm Robin}\ {\rm BC}.$

Problem I: optimization on 2-manifolds

The Laplacian on a compact, simply-connected 2-manifold with boundary + an attractive Robin BC.

In the class of manifolds with the Gauss curvature bounded from above by $K_{\circ} \geq 0$ and under the constraint of fixed perimeter, the geodesic disk of constant curvature K_{\circ} maximizes the lowest Robin eigenvalue.

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Problem II: optimization on cones

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Problem II: optimization on cones

The Laplacian on an unbounded three-dimensional Euclidean cone + an attractive Robin BC.

Problem I: optimization on 2-manifolds

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Problem II: optimization on cones

The Laplacian on an unbounded three-dimensional Euclidean cone + an attractive Robin BC.

Under a constraint of fixed perimeter of the cross-section, the lowest Robin eigenvalue is maximized by the circular cone.

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Optimization on manifolds

3.12.2019 24 / 25

Thank you

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M. Khalile and V.L., Spectral isoperimetric inequalities for Robin Laplacians on 2-manifolds and unbounded cones, arXiv:1909.10842.


M. Khalile and V.L., Spectral isoperimetric inequalities for Robin Laplacians on 2-manifolds and unbounded cones, arXiv:1909.10842.

Thank you for your attention!

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